

Aligning Resident-Focused Care With

Compliance

Policies & Procedures

- Resident's rights
- Code of Conduct
- Compliance and HIPAA policies
- Billing policies

Response & Prevention

- Triple check process
- Breach response team

Training & Education

- Annual compliance and HIPAA training
- Annual mandatory state and federal training

Compliance Oversight

- Board of Directors
- Compliance officer
- Compliance committee
- Employees

Enforcement & Discipline

- Non-retaliation policy
- Fair and consistent discipline process
- Policies accessible to all employees

Monitoring & Auditing

- Annual compliance work plan
- Quality assurance and performance improvement plan
- External audits
- Security risk assessment

Reporting & Investigating

- Grievance process
- Compliance line
- Elder Justice Act
- Employee exit interviews

