



A critical element of effective board oversight is the process of asking the right questions...

What barriers to an effective compliance program have been identified, and what is the action plan to minimize those barriers?

What new government regulation and enforcement issues have arisen for our community?

Have we performed a formal HIPAA Security Risk Assessment? Is the Plan of Correction/Improvement in place? Who is accountable for this plan?

Do our competencies, training, credentialing and peer review processes adequately recognize the needed focus on quality and safety?

What are we doing to publicize our compliance program to employees, so that they are aware of it and of the resources available to them?

Is the board informed about the organization's risk assessment processes? Has it obtained a list of known risks and examined how the organization's compliance plan addresses those risks?

Are quality standards supported by organization-wide policies and operations? How do we enforce them? What internal controls exist to monitor and report on?

How are we driving compliance with contractors and vendors in our extended enterprise environment?

