**Complete the Following:**

**1.** Who is your compliance officer?  
 A. *(insert name of person at your organization)* B. Karla Dreisbach  
 C. *(insert name of person at your organization)* D. *(insert name of person at your organization)*

**2.** An effective compliance program should:  
 A. Be consistent with the organization’s culture  
 B. Reaffirm key organizational principles, such as care and regulatory excellence  
 C. Permeate the organization, so that everyone is aware of their role in compliance and their duty to   
 raise compliance-related concerns  
 D. All of the above

**3.** Every year the organization conducts a compliance risk assessment to assist in the development of an annual work plan. That work plan is reviewed by the compliance committee and the board regularly and modifications are made as priorities change.  
 A. True  
 B. False

**4.** A board member who owns a construction company is voted to engage in a business relationship with the organization. Although the vendor and their services can be beneficial to the organization, the board member has a special interest in the relationship. This is an example of:  
 A. Misuse of funds  
 B. Conflict of interest  
 C. Nepotism  
 D. None of the above

**5.** Organizations should conduct initial and monthly sanction checks for:  
 A. Employees and physicians  
 B. Vendors and contractors  
 C. Board members  
 D. All of the above

**6.** How many days do organizations have to return an overpayment to the government?  
 A. 30 days  
 B. 60 days  
 C. 90 days  
 D. 120 days

**7.** Where can you find the code of conduct?  
 A. Organization website  
 B. Orientation handout  
 C. All of the above

**8.** What does QAPI stand for?  
 Q. \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  
 A. \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  
 P. \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  
 I. \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

**9.** The executive team and board members can be held personally liable if they breach their “duty of care” to the organization.  
 A. True  
 B. False

**10.** Federal agencies with regulatory and oversight authority impacting the long-term care industry are:  
 A. Office of Inspector General  
 B. Centers for Medicare and Medicaid Services  
 C. Department of Health and Human Services  
 D. All of the above

**11.** Protected Health Information (PHI) can never be shared by encrypted email.  
 A. True  
 B. False

**12.** Corporate Compliance is the responsibility of:  
 A. Compliance officer  
 B. Executive team and board of directors  
 C. Employees and volunteers  
 D. Everyone

**ANSWER KEY**

**1** Karla Dreisbach or *(insert name of person at your organization)*

**2.** D

**3.** True

**4.** B

**5.** D

**6.** B

**7.** C

**8.** Quality Assurance Performance Improvement

**9.** True

**10.** D

**11.** False

**12.** D